



Victoria Academies Trust

DATA PROTECTION POLICY v2.0

1. Policy Introduction and Aim

- i. Our Trust aims to ensure that all personal data collected about staff, pupils, parents, governors, visitors and other individuals is collected, stored and processed in accordance with the General Data Protection Regulation (GDPR) and the expected provisions of the Data Protection Act 2018 (DPA 2018) as set out in the Data Protection Bill.
- ii. This policy applies to all personal data, regardless of whether it is in paper or electronic format.

2. Legislation and Guidance

- iii. This policy meets the requirements of the GDPR and the DPA 2018. It is based on guidance published by the Information Commissioner's Office (ICO) on the GDPR.
- iv. It also reflects the ICO's [code of practice](#) for the use of surveillance cameras and personal information.
- v. In addition, this policy complies with our funding agreement and articles of association.

3. Definitions

Term	Definition
Personal Data	<p>Any information relating to an identified, or identifiable, individual.</p> <p>This may include the individual's:</p> <ul style="list-style-type: none"> • Name (including initials) • Identification number • Location data • Online identifier, such as a username <p>It may also include factors specific to the individual's physical, physiological, genetic, mental, economic, cultural or social identity</p>
Special categories of personal data	<p>Personal data which is more sensitive and so needs more protection, including information about an individual's:</p> <ul style="list-style-type: none"> • Racial or ethnic origin • Political opinions • Religious or philosophical beliefs • Trade union membership • Genetics • Biometrics (such as fingerprints, retina and iris patterns), where used for identification purposes • Health – physical or mental • Sex life or sexual orientation

Processing	Anything done to personal data, such as collecting, recording, organising, structuring, storing, adapting, altering, retrieving, using, disseminating, erasing or destroying. Processing can be automated or manual.
Data Subject	The identified or identifiable individual whose personal data is held or processed.
Data Controller	A person or organisation that determines the purposes and the means of processing of personal data
Data Processor	A person or other body, other than an employee of the data controller, who processes personal data on behalf of the data controller.
Personal Data Breach	A breach of security leading to the accidental or unlawful destruction, loss, alteration, unauthorised disclosure of or access to personal data

4. Roles and Responsibilities

- vi. This policy applies to all staff employed by the Trust / Academy, and to external organisations or individuals working on our behalf. Staff who do not comply with this policy may face disciplinary action.

4.1 Data Controller

- vii. Our Trust / Academies process personal data relating to parents, pupils, staff, governors, visitors and others, and therefore is a data controller.
- viii. The Trust / Academy is registered as a data controller with the ICO and will renew this registration annually or as otherwise legally required.

4.2 Trust Board

- ix. The Trust Board has overall responsibility for ensuring that our school complies with all relevant data protection obligations.

4.3 Data Protection Officer

- x. The data protection officer (DPO) is responsible for overseeing the implementation of this policy, monitoring our compliance with data protection law, and developing related policies and guidelines where applicable.
- xi. They will provide an annual report of their activities directly to the trust board and, where relevant, report to the board their advice and recommendations on trust / academy data protection issues.
- xii. The DPO is also the first point of contact for individuals whose data the school processes, and for the ICO.

- xiii. The Trust DPO is **Claire Gibbs** and is contactable via DPO@crocketts.org.uk

4.4 Executive Head / Headteacher

- xiv. The Executive Head / Headteacher acts as the representative of the data controller in their respective academy on a day-to-day basis.

4.4 All Staff

- xv. The Staff are responsible for:
- Collecting, storing and processing any personal data in accordance with this policy
 - Informing the academy of any changes to their personal data, such as a change of address
 - Contacting the DPO in the following circumstances:
 - With any questions about the operation of this policy, data protection law, retaining personal data or keeping personal data secure
 - If they have any concerns that this policy is not being followed
 - If they are unsure whether or not they have a lawful basis to use personal data in a particular way
 - If they need to rely on or capture consent, draft a privacy notice, deal with data protection rights invoked by an individual, or transfer personal data outside the European Economic Area
 - If there has been a data breach
 - Whenever they are engaging in a new activity that may affect the privacy rights of individuals
 - If they need help with any contracts or sharing personal data with third parties

5. Data Protection Principles

- xvi. The GDPR is based on data protection principles that our school must comply with. The principles say that personal data must be:
- Processed lawfully, fairly and in a transparent manner
 - Collected for specified, explicit and legitimate purposes
 - Adequate, relevant and limited to what is necessary to fulfil the purposes for which it is processed
 - Accurate and, where necessary, kept up to date
 - Kept for no longer than is necessary for the purposes for which it is processed
 - Processed in a way that ensures it is appropriately secure

This policy sets out how the school aims to comply with these principles.

6. Collecting Personal Data

6.1 Lawfulness, fairness and transparency

- xvii. We will only process personal data where we have one of 6 'lawful bases' (legal reasons) to do so under data protection law:
- The data needs to be processed so that the school can fulfil a contract with the individual, or the individual has asked the school to take specific steps before entering into a contract
 - The data needs to be processed so that the school can comply with a legal obligation
 - The data needs to be processed to ensure the vital interests of the individual e.g. to protect someone's life
 - The data needs to be processed so that the school, as a public authority, can perform a task in the public interest, and carry out its official functions
 - The data needs to be processed for the legitimate interests of the school or a third party (provided the individual's rights and freedoms are not overridden)
 - The individual (or their parent/carer when appropriate in the case of a pupil) has freely given clear consent
- xviii. For special categories of personal data, we will also meet one of the special category conditions for processing under data protection law:
- The individual (or their parent/carer when appropriate in the case of a pupil) has given explicit consent
 - The data needs to be processed to perform or exercise obligations or rights in relation to employment, social security or social protection law
 - The data needs to be processed to ensure the vital interests of the individual or another person, where the individual is physically or legally incapable of giving consent
 - The data has already been made manifestly public by the individual
 - The data needs to be processed for the establishment, exercise or defence of legal claims
 - The data needs to be processed for reasons of substantial public interest as defined in legislation
 - The data needs to be processed for health or social care purposes, and the processing is done by, or under the direction of, a health or social work professional or by any other person obliged to confidentiality under law

- The data needs to be processed for public health reasons, and the processing is done by, or under the direction of, a health professional or by any other person obliged to confidentiality under law
 - The data needs to be processed for archiving purposes, scientific or historical research purposes, or statistical purposes, and the processing is in the public interest
- xix. Whenever we first collect personal data directly from individuals, we will provide them with the relevant information required by data protection law.
- xx. We will always consider the fairness of our data processing. We will ensure we do not handle personal data in ways that individuals would not reasonably expect, or use personal data in ways which have unjustified adverse effects on them.

7. Limitation, minimisation and accuracy

- xxi. We will only collect personal data for specified, explicit and legitimate reasons. We will explain these reasons to the individuals when we first collect their data.
- xxii. If we want to use personal data for reasons other than those given when we first obtained it, we will inform the individuals concerned before we do so and seek consent where necessary.
- xxiii. Staff must only process personal data where it is necessary in order to do their jobs.
- xxiv. We will keep data accurate and, where necessary, up-to-date. Inaccurate data will be rectified or erased when appropriate.
- xxv. In addition, when staff no longer need the personal data they hold, they must ensure it is deleted or anonymised. This will be done in accordance with the school's record retention schedule.

8. Sharing Personal Data

- xxvi. We will not normally share personal data with anyone else without consent, but there are certain circumstances where we may be required to do so. These include, but are not limited to, situations where:
- There is an issue with a pupil or parent/carer that puts the safety of our staff at risk
 - We need to liaise with other agencies – we will seek consent as necessary before doing this
 - Our suppliers or contractors need data to enable us to provide services to our staff and pupils – for example, IT companies. When doing this, we will:

- Only appoint suppliers or contractors which can provide sufficient guarantees that they comply with data protection law
 - Establish a data sharing agreement with the supplier or contractor, either in the contract or as a standalone agreement, to ensure the fair and lawful processing of any personal data we share
 - Only share data that the supplier or contractor needs to carry out their service, and information necessary to keep them safe while working with us
- xxvii. We will also share personal data with law enforcement and government bodies where we are legally required to do so, including for:
- xxviii. We may also share personal data with emergency services and local authorities to help them to respond to an emergency situation that affects any of our pupils or staff.
- xxix. Where we transfer personal data internationally, we will do so in accordance with data protection law.

9. Subject access requests and other rights of individuals

9.1 Subject Access Requests

- xxx. Individuals have a right to make a 'subject access request' to gain access to personal information that the Trust / Academy holds about them. This includes:
- Confirmation that their personal data is being processed
 - Access to a copy of the data
 - The purposes of the data processing
 - The categories of personal data concerned
 - Who the data has been, or will be, shared with
 - How long the data will be stored for, or if this isn't possible, the criteria used to determine this period
 - Where relevant, the existence of the right to request rectification, erasure or restriction, or to object to such processing
 - The right to lodge a complaint with the ICO or another supervisory authority
 - The source of the data, if not the individual
 - Whether any automated decision-making is being applied to their data, and what the significance and consequences of this might be for the individual
 - The safeguards provided if the data is being transferred internationally
- xxxi. Subject access requests must be submitted in writing, either by letter, email or fax to the DPO. They should include:
- Name of individual
 - Correspondence address

- Contact number and email address
- Details of the information requested

xxxii. If staff receive a subject access request they must immediately forward it to the DPO.

9.2 Subject Access Requests

xxxiii. Personal data about a child belongs to that child, and not the child's parents or carers. For a parent or carer to make a subject access request with respect to their child, the child must either be unable to understand their rights and the implications of a subject access request, or have given their consent.

xxxiv. Children below the age of 12 are generally not regarded to be mature enough to understand their rights and the implications of a subject access request. Therefore, most subject access requests from parents or carers of pupils at our school may be granted without the express permission of the pupil. This is not a rule and a pupil's ability to understand their rights will always be judged on a case-by-case basis.

9.3 Responding to subject access requests

xxxv. When responding to requests, we:

- May ask the individual to provide 2 forms of identification
- May contact the individual via phone to confirm the request was made
- Will respond without delay and within 1 month of receipt of the request
- Will provide the information free of charge
- May tell the individual we will comply within 3 months of receipt of the request, where a request is complex or numerous. We will inform the individual of this within 1 month, and explain why the extension is necessary

xxxvi. We will not disclose information if it:

- Might cause serious harm to the physical or mental health of the pupil or another individual
- Would reveal that the child is at risk of abuse, where the disclosure of that information would not be in the child's best interests
- Would include another person's personal data that we can't reasonably anonymise, and we don't have the other person's consent and it would be unreasonable to proceed without it
- Is part of certain sensitive documents, such as those related to crime, immigration, legal proceedings or legal professional privilege, management forecasts, negotiations, confidential references, or exam scripts

xxxvii. If the request is unfounded or excessive, we may refuse to act on it, or charge a reasonable fee to cover administrative costs. We will take into account whether the request is repetitive in nature when making this decision.

- xxxviii. When we refuse a request, we will tell the individual why, and tell them they have the right to complain to the ICO or they can seek to enforce their subject access right through the courts.

10. CCTV

- xxxix. We use CCTV in various locations around our academies sites to ensure it remains safe. We will adhere to the ICO's code of practice for the use of CCTV.
- xl. We do not need to ask individuals' permission to use CCTV, but we make it clear where individuals are being recorded. Security cameras are clearly visible and accompanied by prominent signs explaining that CCTV is in use.

11. Photographs and videos

- xli. As part of our school activities, we may take photographs and record images of individuals within our school.
- xlii. We will obtain written consent from parents/carers for photographs and videos to be taken of their child for communication, marketing and promotional materials. We will clearly explain how the photograph and/or video will be used to both the parent/carer and pupil.
- xliii. Any photographs and videos taken by parents/carers at school events for their own personal use are not covered by data protection legislation. However, we will ask that photos or videos with other pupils are not shared publicly on social media for safeguarding reasons, unless all the relevant parents/carers have agreed to this
- xliv. Uses may include:
- Within school on notice boards and in school magazines, brochures, newsletters, etc.
 - Outside of school by external agencies such as the school photographer, newspapers, campaigns
 - Online on our school website or social media pages
- xlvi. Consent can be refused or withdrawn at any time. If consent is withdrawn, we will delete the photograph or video and not distribute it further.
- xlvi. When using photographs and videos in this way we will not accompany them with any other personal information about the child, to ensure they cannot be identified.

12. Data protection by design and default

- xlvi. We will put measures in place to show that we have integrated data protection into all of our data processing activities, including:
- Appointing a suitably qualified DPO, and ensuring they have the necessary resources to fulfil their duties and maintain their expert knowledge
 - Only processing personal data that is necessary for each specific purpose of processing, and always in line with the data protection principles set out in relevant data protection law (see section 6)
 - Completing privacy impact assessments where the school's processing of personal data presents a high risk to rights and freedoms of individuals, and when introducing new technologies (the DPO will advise on this process)
 - Integrating data protection into internal documents including this policy, any related policies and privacy notices
 - Regularly training members of staff on data protection law, this policy, any related policies and any other data protection matters; we will also keep a record of attendance
 - Regularly conducting reviews and audits to test our privacy measures and make sure we are compliant
 - Maintaining records of our processing activities, including:
 - For the benefit of data subjects, making available the name and contact details of our trust and academies DPO and all information we are required to share about how we use and process their personal data (via our privacy notices)
 - For all personal data that we hold, maintaining an internal record of the type of data, data subject, how and why we are using the data, any third-party recipients, how and why we are storing the data, retention periods and how we are keeping the data secure

13. Data security and storage of records

- xlvi. We will protect personal data and keep it safe from unauthorised or unlawful access, alteration, processing or disclosure, and against accidental or unlawful loss, destruction or damage.
- xlv. In particular:
- Paper-based records and portable electronic devices, such as laptops and hard drives that contain personal data are kept under lock and key when not in use
 - Papers containing confidential personal data must not be left on office and classroom desks, on staffroom tables, pinned to notice/display boards, or left anywhere else where there is general access

- Where personal information needs to be taken off site, staff must sign it in and out from the academy office
- Passwords that are at least 8 characters long containing letters and numbers are used to access school computers, laptops and other electronic devices. Staff and pupils are reminded to change their passwords at regular intervals
- Encryption software is used to protect all portable devices and removable media, such as laptops and USB devices
- Staff, pupils or governors who store personal information on their personal devices are expected to follow the same security procedures as for school-owned equipment
- Where we need to share personal data with a third party, we carry out due diligence and take reasonable steps to ensure it is stored securely and adequately protected (see section 8)

14. Disposal of records

- i. Personal data that is no longer needed will be disposed of securely. Personal data that has become inaccurate or out of date will also be disposed of securely, where we cannot or do not need to rectify or update it.
- ii. For example, we will shred or incinerate paper-based records, and overwrite or delete electronic files. We may also use a third party to safely dispose of records on the trust/academies behalf. If we do so, we will require the third party to provide sufficient guarantees that it complies with data protection law.

15. Personal data breaches

- ii. The school will make all reasonable endeavours to ensure that there are no personal data breaches.
- liii. In the unlikely event of a suspected data breach, we will follow the procedure set out in appendix 1.
- liv. When appropriate, we will report the data breach to the ICO within 72 hours. Such breaches in an education context may include, but are not limited to:
 - A non-anonymised dataset being published on the trust/academy website which shows the exam results of pupils eligible for the pupil premium
 - Safeguarding information being made available to an unauthorised person
 - The theft of a school laptop containing non-encrypted personal data about pupils

16. Training

- iv. All staff and governors are provided with data protection training as part of their induction process.
- lvi. Data protection will also form part of continuing professional development, where changes to legislation, guidance or the school's processes make it necessary.

17. Policy Updates

- lvii. Data The DPO is responsible for monitoring and reviewing this policy.
- lviii. This policy will be reviewed and updated if necessary when the Data Protection Bill receives royal assent and becomes law (as the Data Protection Act 2018) – if any changes are made to the bill that affect our school's practice. Otherwise, or from then on, this policy will be reviewed every 2 years and shared with the trust board.

Signed by

_____ Chair of Board
_____ Headteacher

Date:
Date:

Appendix 1: Personal data breach procedure

This procedure is based on [guidance on personal data breaches](#) produced by the ICO.

- On finding or causing a breach, or potential breach, the staff member or data processor must immediately notify the DPO
- The DPO will investigate the report, and determine whether a breach has occurred. To decide, the DPO will consider whether personal data has been accidentally or unlawfully:
 - Lost
 - Stolen
 - Destroyed
 - Altered
 - Disclosed or made available where it should not have been
 - Made available to unauthorised people
- If a breach has occurred or it is considered to be likely that is the case, the DPO will alert the headteacher and the chair of trust.
- The DPO will make all reasonable efforts to contain and minimise the impact of the breach. Relevant staff members or data processors should help the DPO with this where necessary, and the DPO should take external advice when required (e.g. from IT providers). (See the actions relevant to specific data types at the end of this procedure)
- The DPO will assess the potential consequences, based on how serious they are, and how likely they are to happen before and after the implementation of steps to mitigate the consequences
- The DPO will work out whether the breach must be reported to the ICO and the individuals affected using the ICO's self-assessment tool
- The DPO will document the decision (either way), in case it is challenged at a later date by the ICO or an individual affected by the breach. Documented decisions are stored on the trust central servers
- Where the ICO must be notified, the DPO will do this via the ['report a breach' page of the ICO website](#) within 72 hours. As required, the DPO will set out:
 - A description of the nature of the personal data breach including, where possible:
 - The categories and approximate number of individuals concerned
 - The categories and approximate number of personal data records concerned
 - The name and contact details of the DPO
 - A description of the likely consequences of the personal data breach
 - A description of the measures that have been, or will be taken, to deal with the breach and mitigate any possible adverse effects on the individual(s) concerned
- If all the above details are not yet known, the DPO will report as much as they can within 72 hours. The report will explain that there is a delay, the reasons why, and when the DPO expects to have further information. The DPO will submit the remaining information as soon as possible

- Where the school is required to communicate with individuals whose personal data has been breached, the DPO will tell them in writing. This notification will set out:
 - The name and contact details of the DPO
 - A description of the likely consequences of the personal data breach
 - A description of the measures that have been, or will be, taken to deal with the data breach and mitigate any possible adverse effects on the individual(s) concerned
- The DPO will consider, in light of the investigation and any engagement with affected individuals, whether to notify any relevant third parties who can help mitigate the loss to individuals – for example, the police, insurers, banks or credit card companies
- The DPO will document each breach, irrespective of whether it is reported to the ICO. For each breach, this record will include the:
 - Facts and cause
 - Effects
 - Action taken to contain it and ensure it does not happen again (such as establishing more robust processes or providing further training for individuals)
- The DPO and headteacher/executive head will meet to review what happened and how it can be stopped from happening again. This meeting will happen as soon as reasonably possible.
- The DPO and headteacher will meet, where required, to assess recorded data breaches and identify any trends or patterns requiring action by the school to reduce risks of future breaches.

Actions to minimise the impact of data breaches

We will take the actions set out below to mitigate the impact of different types of data breach, focusing especially on breaches involving particularly risky or sensitive information. We will review the effectiveness of these actions and amend them as necessary after any data breach.

Sensitive information being disclosed via email (including safeguarding records)

- If special category data (sensitive information) is accidentally made available via email to unauthorised individuals, the sender must attempt to recall the email as soon as they become aware of the error
- Members of staff who receive personal data sent in error must alert the sender and the DPO as soon as they become aware of the error
- If the sender is unavailable or cannot recall the email for any reason, the DPO will ask the ICT department to recall it
- In any cases where the recall is unsuccessful, the DPO will contact the relevant unauthorised individuals who received the email, explain that the information was sent in error, and request that those individuals delete the information and do not share, publish, save or replicate it in any way

- The DPO will ensure we receive a written response from all the individuals who received the data, confirming that they have complied with this request
- The DPO will carry out an internet search to check that the information has not been made public; if it has, we will contact the publisher/website owner or administrator to request that the information is removed from their website and deleted
- If safeguarding information is compromised, the DPO will inform the designated safeguarding lead and discuss whether the school should inform any, or all, of its 3 local safeguarding partners